

Conrad O'Brien represents clients involved in a wide range of securities-related disputes in both court and governmental agency proceedings. We handle claims brought under both state and federal statutes, including the Securities Act of 1933, the Securities Exchange Act of 1934 and the Investment Company Act of 1940. We have handled subprime related litigation since 2005, well before "subprime" became a household word. We also handle broker/dealer litigation: we represent clients involved in disputes arising out of customer transactions with regulated broker/dealers, serve as arbitrators and chairpersons in NASD customer complaint arbitrations, and represent both brokers and brokerage firms in restrictive covenant disputes arising out of broker employment contracts.

Representative cases include the following:

- Conrad O'Brien represents individual directors and officers of a leading pharmaceutical company in shareholders' derivative claims arising from the alleged failure to disclose safety risks associated with the pain medication Vioxx.
- Conrad O'Brien represents former directors and officers in securities and other litigation which arose due to the bankruptcy of companies engaged in loan transactions, including subprime mortgage loans, and asset-based financing.
- Conrad O'Brien represented a leading securities and investment firm in a nationwide consumer class action alleging misrepresentations and omissions in the sale of variable annuity products. A federal district court in Iowa dismissed the case and the Eighth Circuit affirmed.
- Conrad O'Brien represented investors in a securities fraud investigation based on allegations of investor trading, and convinced the Securities and Exchange Commission not to prosecute our clients.

Attorney Contact

John A. Guernsey
Patricia M. Hamill
Paul J. Greco

Phone

215.864.8066
215.864.8071
215.864.8063

Fax

215.864.0066
215.864.0793
215.864.0063

E-Mail

jguernsey@conradobrien.com
phamill@conradobrien.com
pgreco@conradobrien.com

